

## FORMALITIES LETTER



\*OC000000005151952\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/538,602	03/29/2000	Brian P. Dougherty	4562 US

Michael R Blum  
Fenwick & West LLP  
Two Palo Alto Square  
Palo Alto, CA 94306



Date Mailed: 06/01/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$842.
  - \$504 for 28 total claims over 20.
  - \$78 for 1 independent claims over 3 .
  - \$260 for multiple dependent claim surcharge.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1662.**

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/07/2000 CVORACHA 00000053 09538602

01 FC:101	690.00 OP
02 FC:104	260.00 OP
03 FC:105	130.00 OP
04 FC:103	522.00 OP
05 FC:102	312.00 OP



*Sutor*  
**PATENT**

**IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE**

APPLICANT(S): **Brian P. Dougherty et al.**  
SERIAL NO.: **09/538,602**  
FILING DATE: **March 29, 2000**  
TITLE: **Using the Electronic Program Guide to Synchronize Interactivity  
with Broadcast Programs**  
EXAMINER: **Unknown**  
GROUP ART UNIT: **2743**  
ATTY. DOCKET. NO.: **19502-04562**

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, DC 20231, on the date shown below:

Dated: 6/30/00

By: *[Signature]*  
Michael R. Blum, Reg. No.: 44,543

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, DC 20231

**RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF APPLICATION**

Sir:

Responsive to the Notice to File Missing Parts dated June 1, 2000 for the above-identified patent application, enclosed are:

☒ payment in the amount of \$1,914 for the filing fee (\$690), excess total claims charge (\$522), excess independent claims charge (\$312), multiple dependent claim surcharge (\$260) and late filing surcharge (\$130).

☒ Applicants wish to point out that the excess claims fees as provided on the Notice, as well as the Total Claims and Independent Claims listed on the Filing Receipt, have been calculated incorrectly by the Office. Applicants believe the Total Claims for this

application are 49 (with 29 excess claims) and the Independent Claims total 7 (with 4 excess claims).

- ☒ Fee Transmittal (in duplicate).
- ☐ a signed Verified Statement.
- ☐ an original, signed Declaration.
- ☒ a copy of the Notice to File Missing Parts.
- ☐ Other:

Respectfully submitted,

Brian P. Dougherty et al.

Dated: 6/30/00

By: 

Michael R. Blum, Reg. No. 44,543

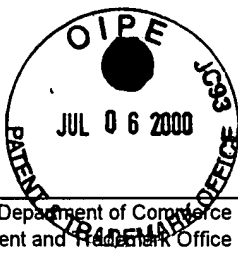
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0002/PTO(modified)  
Rev. 10/95

U.S. Department of Commerce  
Patent and Trademark Office

## FEE TRANSMITTAL

### TOTAL AMOUNT OF PAYMENT

Subtotal (1) + Subtotal (2) + Subtotal (3) =

**(\$1,914**

### Complete if Known

Application Number	09/538,602
Filing Date	March 29, 2000
First Named Inventor	Brian P. Dougherty et al.
Group Art Unit	2743
Examiner Name	Unknown
Attorney Docket Number	19502-04562

### METHOD OF PAYMENT

#### 1. The Commissioner is hereby authorized to:

- ☐ Charge the indicated fees to the below mentioned deposit account.
- ☒ Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. <sup>†</sup>
- ☐ Charge the Issue Fee set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b) to the below mentioned deposit account.

Deposit Account Number: 19-2555

Deposit Account Name: FENWICK & WEST LLP

A Duplicate Copy of this authorization is attached

2. ☒ Payment Enclosed:  
[ X ] Check [ ] Other

### FEE CALCULATION (fees effective 11/12/98)

#### 1. FILING FEE

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
101/\$690	201/\$345	Utility Filing	690
106/\$310	206/\$155	Design Filing	
108/\$690	208/\$345	Reissue	
114/\$150	214/\$75	Provisional Filing	
SUBTOTAL (1)			(\$690

#### 2. CLAIMS

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description
103/\$18	203/\$9	Claims in excess of 20
102/\$78	202/\$39	Independent claims in excess of 3
104/\$260	204/\$130	Multiple dependent claim
109/\$78	209/\$39	Reissue independent claims over original patent
110/\$18	210/\$9	Reissue claims in excess of 20 and over original patent

#### 3. ADDITIONAL FEES

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
105/\$130	205/\$65	Surcharge - late filing fee or oath	130
127/\$50	227/\$25	Surcharge-late provisional filing fee or cover sheet	
147/\$2,520	147/\$2,520	For filing a request for reexamination	
115/\$110	215/\$55	Extension for response within first month <sup>†</sup>	
116/\$380	216/\$190	Extension for response within second month <sup>†</sup>	
117/\$870	217/\$435	Extension for response within third month <sup>†</sup>	
118/\$1,360	218/\$680	Extension for response within fourth month <sup>†</sup>	
128/\$1,850	228/\$925	Extension for response within fifth month <sup>†</sup>	
119/\$300	219/\$150	Notice of Appeal	
141/\$1,210	241/\$605	Petition to revive unintentionally abandoned application	
142/\$1,210	242/\$605	Utility Issue Fee (Or Reissue)	
143/\$430	243/\$215	Design Issue Fee	
122/\$130	122/\$130	Petitions to the Commissioner	
123/\$50	123/\$50	Petitions related to provisional applications	
126/\$240	126/\$240	Submission of Information Disclosure Statement	
581/\$40	581/\$40	Recording each patent assignment per property (times number of properties)	
146/\$690	246/\$345	Filing a submission after final rejection (37 CFR 1.129(a))	
149/\$690	249/\$345	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify):			
Other fee (specify):			
SUBTOTAL (3)			(\$130

(Col. 1)		(Col. 2)		(Col. 3)		Fee		Fee Due	
For	No. of Existing Claims	minus*	Highest No. Previously Paid For	=	Extra**	x		=	
TOTAL	49	minus*	20 or	=	29	x	18	=	522
INDEP	7	minus*	3 or	=	4	x	78	=	312
[ X ] First presentation of multiple dependent claim							260	=	260

\* Subtract the greater number of Col. 2

\*\* If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

SUBTOTAL (2) (\$1,094

### SUBMITTED BY

Typed or Printed Name Michael R. Blum

Signature

### Complete (if applicable)

Reg. Number 44,543

Date

6/20/00